

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/01835

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61K31/565 A61K38/19

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	<p>PUROHIT A.: "Inhibition of tumor necrosis factor <math>\alpha</math>-stimulated aromatase activity by microtubule-stabilizing agents, paclitaxel and 2-methoxyestradiol"</p> <p>BIOCHEM BIOPHYS RES COMM, vol. 261, 1999, pages 214-217, XP002121930 abstract</p> <p>page 214, column 2, paragraph 3</p> <p>page 216, column 2</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	1-24

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

12 November 1999

Date of mailing of the international search report

25/11/1999

Name and mailing address of the ISA

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Authorized officer

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 99/01835

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	REED M. J. ET AL: "The role of cytokines and sulphatase inhibitors in regulating oestrogen synthesis in breast tumours" J. STEROID BIOCHEM MOLEC. BIOL., vol. 53, no. 1-6, June 1995 (1995-06), pages 413-420, XP002121931 abstract see conclusions page 419 page 417, column 2, paragraph 1 ----	1-24
Y,P	LI P -K ET AL: "Development of potent non-estrogenic estrone sulfatase inhibitors - Potential affinity labels of human placental aromatase" STEROIDS: STRUCTURE, FUNCTION, AND REGULATION,US,ELSEVIER SCIENCE PUBLISHERS, NEW YORK, NY, vol. 63, no. 7-8, July 1998 (1998-07), page 425-432 XP004134764 ISSN: 0039-128X ----	1-24
X	see scheme 1,2 abstract; figures 2,3 ----	20-23
Y	PUROHIT A ET AL: "REGULATION OF AROMATASE AND SULPHATASE IN BREAST TUMOUR CELLS" JOURNAL OF ENDOCRINOLOGY,GB,BRISTOL, vol. 150, page S65-S71 XP002054919 ISSN: 0022-0795 abstract page S67 -page S68 ----	1-24
P,Y	GB 2 331 988 A (UNIV BATH ;IMPERIAL COLLEGE (GB)) 9 June 1999 (1999-06-09) page 10 -page 11; examples 1,4,5 ----	1-19
P,X	claims 7,11,12 ----	20-23
Y	PUROHIT A. ET AL: "The development of A-ring modified analogues of oestrone-3-o-sulphamate as potent steroid sulphatase inhibitors with reduced oestrogenicity" J. STEROID BIOCHEM. MOLEC. BIOL., vol. 64, no. 5-6, 1998, pages 269-275, XP000852568 ----	1-19
X	abstract; figures 1,3,4 ----	20-23
Y,P	PUROHIT A. ET AL: "Recent advances in the development of steroid sulphatase inhibitors" J. STEROID. BIOCHEM. MOLEC.BIOL., vol. 69, 1999, pages 227-238, XP000852540 ----	1-19
X	abstract; figure 1 ----	20-23

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## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 99/01835

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	SIMONS M. H.: "Regulatie en inhibitie van oestransulfatase-activiteit" PHARMACEUTISCH WEEKBLAD, vol. 131, no. 19, 1996, pages 549-550, XP000852580 abstract	1-23
Y	WO 97 14712 A (JENAPHARM GMBH) 24 April 1997 (1997-04-24)	1-19
X	abstract page 6, line 5-10; claim 1	20-23
Y,P	PUROHIT A. ET AL: "The regulation of oestrone sulphate formation in breast cancer cells" J. STEROID BIOCHEM MOLEC. BIOL., vol. 68, 1999, pages 129-135, XP000852538 abstract page 132, column 2	1-23
P,Y	WO 98 24802 A (POTTER BARRY VICTOR LLOYD ; REED MICHAEL JOHN (GB); IMPERIAL COLLEG) 11 June 1998 (1998-06-11)	1-19
P,X	page 22; figures 1,6-9	20-23
E	WO 99 33858 A (STANFORD RES INST INT) 8 July 1999 (1999-07-08) page 5 page 11 page 16	1-19
X,P	page 64; claims 3,8,13; example 20	20-23
E	EP 0 934 949 A (TEIKOKU HORMONE MFG CO LTD) 11 August 1999 (1999-08-11)	1-19
P,X	abstract; claims 2,4,6	20-23
P,Y	WO 99 03876 A (DUQUESNE UNIVERSITY OF THE HOL) 28 January 1999 (1999-01-28)	1-19
P,X	claims 1,2; figures 2,3; example 3	20-23

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/01835

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 21 and 22 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
  
claims 1-23 partially, 24 complete  
  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-23 partially, 24 complete

Present claims 1-23 relate to a composition defined by reference to a number of parametric expressions: The expression "a compound comprising a sulphamate group" in claim 1 does neither specify the structural type of such compounds, nor any of its further substituents. It is self-evident that a complete search is not possible for such subject matters. The further definition of such compound as an inhibitor of oestrone sulphatase introduces a functional parameter which is not suitable for identifying compounds in structural terms. Equally the further definition of such compound by the requirement that if the sulphamate group were to be replaced with a sulphate group, then the sulphate compounds would be hydrolysable by a steroid sulphatase enzyme, does not provide a useful definition of a compound in structural terms. Also the further definition of such compound as a cyclic or polycyclic compound is insufficient for structural identification. Even the definition that the sulphamate compound has a "steroidal structure" is obscure to a very high extent in view of the explanation given in the description on pages 11-12. The further definitions of substituents positions and substituents are not particularly helpful in this situation; the expressions "oxyhydrocarbyl", "hydrocarbyl" appear not to have the meanings that are usual in the technical field in question, in view of the explanations on page 8. "C1-6 O" is a group which chemically appears to be meaningless. The preferred compound mentioned in claim 15 is the only sulphamate compound which is fully defined in the claims.

The expression "a biological response modifier" is open for various interpretations and the definition on page 5 of the description is open-ended, as it is evident from the use of "etc". It is clear that in this situation a meaningful search over the whole scope of all claims is not possible.

The use of these parameters in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. The lack of clarity is such as to render a meaningful complete search impossible. Moreover present claims relate to an extremely large number of possible compounds/compositions/uses taking into account the definition of these compounds/compositions and uses as given in the description. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compositions claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been restricted to the embodiments mentioned in the examples and to the compounds/compositions specifically mentioned in the claims and to obvious variants thereof and to the general idea underlying the present application.

Because there is no technical feature defined in claim 24, a search for this claim is not possible (Art 6 PCT; Rule 6.2 (a) PCT).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 99/01835

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
GB 2331988	A	09-06-1999	AU 1345699 A	16-06-1999
			WO 9927935 A	10-06-1999
WO 9714712	A	24-04-1997	DE 19540233 A	24-04-1997
			AT 178903 T	15-04-1999
			AU 1436097 A	07-05-1997
			BR 9610905 A	13-07-1999
			CN 1200126 A	25-11-1998
			DE 59601683 D	20-05-1999
			EP 0862577 A	09-09-1998
			ES 2131972 T	01-08-1999
			JP 11505268 T	18-05-1999
			US 5705495 A	06-01-1998
WO 9824802	A	11-06-1998	AU 5402398 A	29-06-1998
			EP 0942919 A	22-09-1999
WO 9933858	A	08-07-1999	AU 1941699 A	19-07-1999
EP 0934949	A	11-08-1999	AU 4219197 A	02-04-1998
			WO 9811124 A	19-03-1998
WO 9903876	A	28-01-1999	US 5880115 A	09-03-1999
			AU 8568798 A	10-02-1999

**CLAIMS**

1. A composition comprising

- 5 i) a compound comprising a sulphonate group ("a sulphonate compound"); and  
ii) a biological response modifier.

10 2. A composition according to claim 1 wherein the biological response modifier is a cytokine.

3. A composition according to claim 2 wherein the cytokine is tumour necrosis factor (TNF).

15 4. A composition according to any one of the preceding claims wherein the sulphonate compound is suitable for use as an inhibitor of oestrogen sulphonatase (E.C. 3.1.6.2).

20 5. A composition according to any one of the preceding claims wherein if the sulphonate group on the sulphonate compound were to be replaced with a sulphate group to form a sulphate compound then the sulphate compound would be hydrolysable by a steroid sulphonatase enzyme (E.C.3.1.6.2).

25 6. A composition according to any one of the preceding claims wherein if the sulphonate group on the sulphonate compound were to be replaced with a sulphate group to form a sulphate compound and incubated with a steroid sulphonatase enzyme (E.C.3.1.6.2) at a pH 7.4 and 37°C it would provide a  $K_m$  value of less than 50 mM.

30 7. A composition according to any one of the preceding claims wherein if the sulphonate group on the sulphonate compound were to be replaced with a sulphate group to form a sulphate compound and incubated with a steroid sulphonatase enzyme (E.C.3.1.6.2) at a pH 7.4 and 37°C it would provide a  $K_m$  value of less than 50  $\mu$ M.



8. A composition according to any one of the preceding claims wherein the sulphamate compound is a cyclic compound.

9. A composition according to any one of the preceding claims wherein the sulphamate compound is a polycyclic compound.

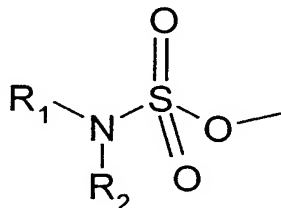
10. A composition according to any one of the preceding claims wherein the sulphamate compound has a steroidal structure.

11. A composition according to claim 10 wherein the sulphamate compound has at least one sulphamate group attached to the 3 position of the A ring of the steroidal nucleus.

12. A composition according to any one of the preceding claims wherein the sulphamate compound comprises at least one oxyhydrocarbyl group, preferably a group of the formula  $C_{1-6}O$ .

13. A composition according to claim 12 wherein the group  $C_{1-6}O$  is attached to the 2 position of the A ring of a steroidal nucleus.

14. A composition according to any one of the preceding claims wherein the sulphamate group of the sulphamate compound has the formula:



wherein each of  $R_1$  and  $R_2$  is independently selected from H or a hydrocarbyl group.

15. A composition according to any one of the preceding claims wherein the sulphamate compound is oxyhydrocarbyl steroidal sulphamate compound (preferably 2-methoxyoestrone-3-O-sulphamate), or a pharmaceutically active salt thereof.

*Filed with Demand  
6/1/2000*

16. A composition according to any one of the preceding claims, wherein the composition further comprises a pharmaceutically acceptable carrier, diluent, or excipient.

5 17. A composition according to any one of the preceding claims, wherein the compound comprising a sulphamate group is 2-methoxyoestrone-3-O-sulphamate, and the biological response modifier is tumor necrosis factor  $\alpha$  (TNF- $\alpha$ )

18. A composition according to any one of the preceding claims for use in medicine.

10

19. Use of a composition according to any one of the preceding claims in the manufacture of a medicament to prevent and/or inhibit tumour growth.

15

20. Use of a composition according to any one of the preceding claims in the manufacture of a medicament to do any one or more of:

prevent or suppress glucose uptake by a tumour;  
prevent and/or inhibit tumour angiogenesis;  
disrupt microtubules;  
20 induce apoptosis.

21. Use of an oxyhydrocarbyl steroidal sulphamate compound in the manufacture of a medicament to do any one or more of:

25

prevent or suppress glucose uptake by a tumour;  
prevent and/or inhibit tumour angiogenesis;  
disrupt microtubules;  
induce apoptosis.

30

22. A method of treatment comprising administering to a subject in need of treatment a composition according to any one of the preceding claims.

*Filed with Demand  
6/1/2000*

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23. A method of treatment comprising administering to a subject in need of treatment a composition according to any one of the preceding claims or an oxyhydrocarbyl steroidal sulphamate compound in order to prevent or suppress glucose uptake by a tumour; and/or prevent and/or inhibit tumour angiogenesis; and/or disrupt microtubules; and/or induce  
5 apoptosis.

24. A composition that is capable of affecting hormonal activity and is capable of affecting an immune response, wherein the composition is the according to any one of the preceding claims.

10

25. A composition substantially as described herein.

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum)

P004713WO DAA

### Box No. I TITLE OF INVENTION

Composition

### Box No. II APPLICANT

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)

Imperial College of Science, Technology and Medicine  
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☐ This person is also inventor.

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Facsimile No.

Teleprinter No.

State (i.e. country) of nationality:

United Kingdom

State (i.e. country) of residence:

United Kingdom

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America only

☐ the States indicated in the Supplemental Box

### Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)

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This person is:

☒ applicant only

☐ applicant and inventor

☐ inventor only (if this check-box is marked, do not fill in below)

State (i.e. country) of nationality:

United Kingdom

State (i.e. country) of residence:

United Kingdom

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America only

☐ the States indicated in the Supplemental Box

☒ Further applicant and/or (further) inventors are indicated on a continuation sheet

### Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☒ agent

☐ common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

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☐ Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

## Continuation of Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS

*If none of the following sub-boxes is used, this sheet is not to be included in the request.*

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

REED, Michael John  
42 Wimborne Gardens  
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United Kingdom

This person is:

- ☐ applicant only
- ☒ applicant and inventor
- ☐ inventor only (if this check-box is marked, do not fill in below)

State (that is, country) of nationality:

United Kingdom

State (that is, country) of residence:

United Kingdom

This person is applicant for the purposes of:

- ☐ all designated States ☐ all designated States except the United States of America ☒ the United States of America only ☐ the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

POTTER, Barry Victor Lloyd  
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Department of Medicinal Chemistry  
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United Kingdom

This person is:

- ☐ applicant only
- ☒ applicant and inventor
- ☐ inventor only (if this check-box is marked, do not fill in below)

State (that is, country) of nationality:

United Kingdom

State (that is, country) of residence:

United Kingdom

This person is applicant for the purposes of:

- ☐ all designated States ☐ all designated States except the United States of America ☒ the United States of America only ☐ the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- ☐ applicant only
- ☐ applicant and inventor
- ☐ inventor only (if this check-box is marked, do not fill in below)

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of:

- ☐ all designated States ☐ all designated States except the United States of America ☐ the United States of America only ☐ the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- ☐ applicant only
- ☐ applicant and inventor
- ☐ inventor only (if this check-box is marked, do not fill in below)

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of:

- ☐ all designated States ☐ all designated States except the United States of America ☐ the United States of America only ☐ the States indicated in the Supplemental Box

☐ Further applicants and/or (further) inventors are indicated on a continuation sheet

**Box No. V DESIGNATION OF STATES**

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

**Regional Patent**

- ☒ **AP** ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ **EA** Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ **EP** European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ **OA** OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, please specify on dotted line)

**National Patent** (if other kind of protection or treatment desired, specify on dotted line):

- |  |  |
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| <input checked="" type="checkbox"/> AL Albania                               | <input checked="" type="checkbox"/> LS Lesotho   |
| <input checked="" type="checkbox"/> AM Armenia                               | <input checked="" type="checkbox"/> LT Lithuania   |
| <input checked="" type="checkbox"/> AT Austria                               | <input checked="" type="checkbox"/> LU Luxembourg  |
| <input checked="" type="checkbox"/> AU Australia                             | <input checked="" type="checkbox"/> LV Latvia  |
| <input checked="" type="checkbox"/> AZ Azerbaijan                            | <input checked="" type="checkbox"/> MD Republic of Moldova   |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina                | <input checked="" type="checkbox"/> MG Madagascar  |
| <input checked="" type="checkbox"/> BB Barbados                              | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia   |
| <input checked="" type="checkbox"/> BG Bulgaria                              |  |
| <input checked="" type="checkbox"/> BR Brazil                                | <input checked="" type="checkbox"/> MN Mongolia  |
| <input checked="" type="checkbox"/> BY Belarus                               | <input checked="" type="checkbox"/> MW Malawi  |
| <input checked="" type="checkbox"/> CA Canada                                | <input checked="" type="checkbox"/> MX Mexico  |
| <input checked="" type="checkbox"/> CH AND LI Switzerland and Liechtenstein  | <input checked="" type="checkbox"/> NO Norway  |
| <input checked="" type="checkbox"/> CN China                                 | <input checked="" type="checkbox"/> NZ New Zealand   |
| <input checked="" type="checkbox"/> CU Cuba                                  | <input checked="" type="checkbox"/> PL Poland  |
| <input checked="" type="checkbox"/> CZ Czech Republic                        | <input checked="" type="checkbox"/> PT Portugal  |
| <input checked="" type="checkbox"/> DE Germany                               | <input checked="" type="checkbox"/> RO Romania   |
| <input checked="" type="checkbox"/> DK Denmark                               | <input checked="" type="checkbox"/> RU Russian Federation  |
| <input checked="" type="checkbox"/> EE Estonia                               | <input checked="" type="checkbox"/> SD Sudan   |
| <input checked="" type="checkbox"/> ES Spain                                 | <input checked="" type="checkbox"/> SE Sweden  |
| <input checked="" type="checkbox"/> FI Finland                               | <input checked="" type="checkbox"/> SG Singapore   |
| <input checked="" type="checkbox"/> GB United Kingdom                        | <input checked="" type="checkbox"/> SI Slovenia  |
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| <input checked="" type="checkbox"/> KG Kyrgyzstan                            | <input checked="" type="checkbox"/> YU Yugoslavia  |
| <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea | <input checked="" type="checkbox"/> ZW Zimbabwe  |
| <input checked="" type="checkbox"/> KR Republic of Korea                     | Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after the issuance of this sheet: |
| <input checked="" type="checkbox"/> KZ Kazakhstan                            | <input checked="" type="checkbox"/> AE United Arab Emirates  |
| <input checked="" type="checkbox"/> LC Saint Lucia                           | <input checked="" type="checkbox"/> ZA South Africa  |
| <input checked="" type="checkbox"/> LK Sri Lanka                             | <input type="checkbox"/>   |
| <input checked="" type="checkbox"/> LR Liberia                               | <input type="checkbox"/>   |

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

**Supplemental Box** *If the Supplemental Box is not used, this sheet should not be included in the request.*

1. *If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:*
  - (i) *if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;*
  - (ii) *if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;*
  - (iii) *if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;*
  - (iv) *if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;*
  - (v) *if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;*
  - (vi) *if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;*
  - (vii) *if, in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed.*
2. *If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.*
3. *If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.*

### Continuation of Box No. IV

PURVIS, William Michael Cameron  
 COTTER, Ivan John  
 PILCH, Adam John Michael  
 CRISP, David Norman  
 ROBINSON, Nigel Alexander Julian  
 HARRIS, Ian Richard  
 HARDING, Charles Thomas  
 TURNER, James Arthur  
 MALLALIEU, Catherine Louise  
 PRATT, Richard Wilson  
 PRICE, Paul Anthony King  
 HOLMES, Miles  
 HORNER, David Richard  
 MASCHIO, Antonio  
 NACHSHEN, Neil  
 POTTER, Julian  
 ALCOCK, David

<b>Box No. VI PRIORITY CLAIM</b>		<input type="checkbox"/> Further priority claims are indicated in the Supplemental Box		
The priority of the following earlier application(s) is hereby claimed:				
Filing Date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application: * regional Office	international application: receiving Office
item (1) 10 Jun 1998 10/6/1998	9812535.4	UK		
item (2) 30 Apr 1999 30/4/1999	9910167.7	UK		
item (3)				
<input checked="" type="checkbox"/> The receiving Office is hereby requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s) : (1) and (2)				
* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.				
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>				
<b>Choice of International Searching Authority (ISA)</b> (If two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):  ISA / _____		<b>Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):</b>  Date (day/month/year) _____ Number: _____ Country (or regional Office): _____		
<b>Box No. VII CHECK LIST; LANGUAGE OF FILING</b>				
This international application contains the following number of sheets:  request : 5 description (excluding sequence listing part) : 41 claims : 4 abstract : 1 drawings : 9 sequence listing part of description : Total number of sheets : 60		This international application is accompanied by the item(s) marked below:  1. <input checked="" type="checkbox"/> fee calculation sheet 2. <input type="checkbox"/> separate signed power of attorney 3. <input type="checkbox"/> copy of general power of attorney; reference number, if any: 4. <input type="checkbox"/> statement explaining lack of signature 5. <input type="checkbox"/> priority documents(s) identified in Box No. VI as item(s): 6. <input type="checkbox"/> translation of international application into (language): 7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material 8. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form 9. <input checked="" type="checkbox"/> other (specify): Letter		
Figure of the drawings which should accompany the abstract:		Language of filing of the international application:		
<b>Box No. IX SIGNATURE OF APPLICANT OR AGENT</b>				
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request)				
DAVID ALCOCK				

For receiving Office use only		2. Drawings:  <input type="checkbox"/> received:  <input type="checkbox"/> not received:
1. Date of actual receipt of the purported international application:		
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:		
4. Date of timely receipt of the required corrections under PCT Article 11(2):		
5. International Searching Authority specified by the applicant: ISA / _____	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee paid	

For International Bureau use only	
Date of receipt of the record copy by the International Bureau:	



IPEA/ EPO

# PCT

## DEMAND

### CHAPTER II

under Article 31 of the Patent Cooperation Treaty:  
The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only

Identification of IPEA		Date of receipt of DEMAND
<b>Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION</b>		Applicant's or agent's file reference P004713WO CTH DAA
International application No. PCT/GB99/01835	International filing date (day/month/year) 10 Jun 1999	(Earliest) Priority date (day/month/year) 10 Jun 1998
Title of invention Composition		
<b>Box No. II APPLICANT(S)</b>		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  Sterix Limited The Magdalen Centre Robert Robinson Avenue The Oxford Science Park Oxford OX4 4GA United Kingdom		Telephone No.:  Facsimile No.:  Teleprinter No.:
State (that is, country) of nationality: United Kingdom	State (that is, country) of residence: United Kingdom	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  REED, Michael John 42 Wimborne Gardens London W13 8B3 United Kingdom		
State (that is, country) of nationality: United Kingdom	State (that is, country) of residence: United Kingdom	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  POTTER, Barry Victor Lloyd University of Bath Department of Medicinal Chemistry Claverton Down Bath BA2 7AY United Kingdom		
State (that is, country) of nationality: United Kingdom	State (that is, country) of residence: United Kingdom	
<input type="checkbox"/> Further applicants are indicated on a continuation sheet.		

**Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**The following person is ☒ agent ☐ common representativeand ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.Name and address: *(Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country.)*ALCOCK, David  
D Young & Company  
21 New Fetter Lane  
London  
EC4A 1DA  
United Kingdom

Telephone No.:

023 8063 4816

Facsimile No.:

023 8022 4262

Teleprinter No.:

477667 YOUNGS G

☐ **Address for Correspondence:** Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.**Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION****Statement concerning amendments: \***

1. The applicant wishes the international preliminary examination to start on the basis of:

☐ the international application as originally filed

the description

☒ as originally filed☐ as amended under Article 34

the claims

☐ as originally filed☐ as amended under Article 19 (together with any accompanying statement)☒ as amended under Article 34

the drawings

☒ as originally filed☐ as amended under Article 342. ☐ The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.3. ☐ The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired).*

\* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

**Language for the purposes of international preliminary examination:**☒ which is the language in which the international application was filed.☐ which is the language of a translation furnished for the purposes of international search.☐ which is the language of publication of the international application.☐ which is the language of translation (to be) furnished for the purposes of international preliminary examination.**Box No. V ELECTION OF STATES**The applicant hereby elects all eligible States *(that is, all States which have been designated and which are bound by Chapter II of the PCT)*

excluding the following States which the applicant wishes not to elect:

**Box No. VI CHECK LIST**

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

- |    |   |   |   |        |
|----|---|---|---|--------|
| 1. | translation of international application                              | : |   | sheets |
| 2. | amendments under Article 34   | : | 4 | sheets |
| 3. | copy (or, where required, translation) of amendments under Article 19 | : |   | sheets |
| 4. | copy (or, where required, translation) of statement under Article 19  | : |   | sheets |
| 5. | letter  | : | 1 | sheets |
| 6. | other (specify)   | : |   | sheets |

**For International Preliminary Examining Authority use only**

received	not received
----------	--------------

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

The demand is also accompanied by the item(s) marked below:

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> fee calculation sheet                             | 4. <input type="checkbox"/> statement explaining lack of signature                                  |
| 2. <input type="checkbox"/> separate signed power of attorney                            | 5. <input type="checkbox"/> nucleotide and or amino acid sequence listing in computer readable form |
| 3. <input type="checkbox"/> copy of general power of attorney; reference number, if any: | 6. <input type="checkbox"/> other (specify):  |

**Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).

DAVID ALCOCK

**For International Preliminary Examining Authority use only**

1. Date of actual receipt of DEMAND:
2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):
3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply. ☐ The applicant has been informed accordingly.
4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.
5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

**For International Bureau use only**

Demand received from IPEA on:

# PATENT COOPERATION TREATY

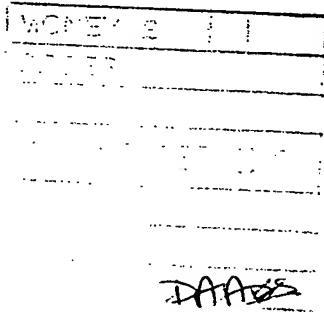
SOUTHAMPTON

18 SEP 2000

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

ALCOCK, David  
D. YOUNG & CO.  
21 New Fetter Lane  
London EC4A 1DA  
GRANDE BRETAGNE



PCT

## NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Rule 71.1)

Date of mailing  
(day/month/year) 14.09.2000

Applicant's or agent's file reference  
P004713WO CTH DAA

### IMPORTANT NOTIFICATION

International application No.  
PCT/GB99/01835

International filing date (day/month/year)  
10/06/1999

Priority date (day/month/year)  
10/06/1998

Applicant  
STERIX LIMITED et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

 European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized officer

THORNTON, J

Tel. +49 89 2399-8072



# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>P004713WO CTH DAA</b>	<div style="display: flex; justify-content: space-between;"> <div> <b>FOR FURTHER ACTION</b> </div> <div>           See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)         </div> </div>	
International application No. <b>PCT/GB99/01835</b>	International filing date (day/month/year) <b>10/06/1999</b>	Priority date (day/month/year) <b>10/06/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>A61K31/565</b>		
Applicant <b>STERIX LIMITED et al.</b>		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 4 sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input type="checkbox"/> Certain defects in the international application</li> <li>VIII <input type="checkbox"/> Certain observations on the international application</li> </ul>		
Date of submission of the demand  <b>06/01/2000</b>	Date of completion of this report  <b>14.09.2000</b>	
Name and mailing address of the international preliminary examining authority:  <div style="display: flex; align-items: center;"> <div>             European Patent Office              D-80298 Munich              Tel. +49 89 2399 - 0 Tx: 523656 epmu d              Fax: +49 89 2399 - 4465           </div> </div>	Authorized officer  <b>Toulacis, C</b>  Telephone No. +49 89 2399 8638	



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB99/01835

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-41 as originally filed

**Claims, No.:**

1-25 as received on 20/03/2000 with letter of 15/03/2000

**Drawings, sheets:**

1/9-9/9 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.  
☒ claims Nos. 5-16, 18-25.

because:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/01835

- ☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):
- ☒ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 5-16, 18-25 are so unclear that no meaningful opinion could be formed (*specify*):
- see separate sheet**
- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☒ no international search report has been established for the said claims Nos. (1-16, 18-24) all partially, 25 complete.

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes:	Claims	17
	No:	Claims	
Inventive step (IS)	Yes:	Claims	17
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	17
	No:	Claims	

### 2. Citations and explanations

**see separate sheet**

III

The search has been carried out for those parts of the application which are clear (and/or concise), namely the compounds as defined in claim 17 and for those parts of claims 1-16 and 18-24 referring to said clearly defined compounds. Claim 25 has completely not been searched (see search report; sheet PCT/ISA/210).

Consequently, the examination can only be carried out for those parts of the application which have been searched, namely claim 17 and claims 1-16 and 18-24 when referring to the compounds as defined in claim 17.

Additionally, the expression "wherein if the sulphamate group on the sulphamate compound were to be replaced with a sulphate group to form a sulphate compound then the sulphate compound would be hydrolysable by a steroid sulphatase enzyme (E.C.3.1.6.2)" in claims 5-16, 18-24 is unclear and renders said claims unclear regarding the scope of protection (Art. 6 PCT).

The subject-matter of claim 25 is additionally not clear due to the expression "as substantially described herein".

Furthermore, the subject-matter of claims 20, 21 and 23 is not supported by the description (Art. 6 PCT).

Claims 20, 21 and 23 are directed to the use of a composition according to the presently claimed invention, in the manufacture of a medicament to do any one or more of: i) prevent or suppress glucose uptake by a tumour, ii) prevent and/or inhibit tumour angiogenesis, iii) disrupt microtubules and iv) induce apoptosis.

The effects of i) to iii) are not supported by the description for the composition claimed comprising the combination of a) a compound comprising a sulfamate group and b) a biological response modifier. Only the effect of iv) is supported by the description for the composition claimed, whereas the effects of i) and iv) are supported for the compound 2-methoxy EMATE and not the combination.

The effects, however, of 2-methoxy EMATE are already known (see D1, page 414, right column, last paragraph).



V

Claims 1-16, 18-24 (when the compound comprising a sulphamate group is 2-methoxyoestrone-3-O-sulphamate and the biological response modifier is tumour necrosis factor alpha)

(N) A composition comprising i) 2-methoxyoestrone-3-O-sulphamate and ii) tumour necrosis factor alpha, is not disclosed in the documents cited in the search report.

(IS) The object of the present application is to provide a composition suitable for use in the treatment of cancers and especially breast cancer (description; page 3, lines 22-23). Said object has been achieved by providing a composition comprising i) 2-methoxyoestrone-3-O-sulphamate and ii) tumour necrosis factor alpha (see description, page 34, table III and page 35, lines 5-8 in context with figures 9 and 10). It is shown that the combination of 2-methoxy EMATE and TNFa enhance apoptosis of MCF-7 breast cancer cells (fig. 9), and decrease the tumour volume of an NMU-induced mammary tumour significantly, compared to the components alone.

Document, REED M. J. ET AL: "The role of cytokines and sulphatase inhibitors in regulating oestrogen synthesis in breast tumours" J. STEROID BIOCHEM MOLEC. BIOL., vol. 53, no. 1-6, June 1995 (1995-06), pages 413-420, XP002121931 (D1), discloses that a number of growth factors and cytokines (corresponding to the claimed TNFa), stimulate the activities of enzymes involved in oestrogen synthesis in breast cancer cells, whereas EMATE (corresponding to the claimed 2-methoxy EMATE) inhibits oestrone sulphatase (E1-STS), (D1; abstract; page 415, right column, paragraph 2; fig. 4, 6; conclusions).

Said results of D1 concerning the cytokines is prejudicial for the combination of an oestrone sulphatase inhibitor (2-methoxy EMATE) with a cytokine as TNFa.

(IA) The industrial applicability of the compositions is beyond any doubt.

14 20 03 00

CLAIMS

1. A composition comprising
  - 5 i) a compound comprising a sulphamate group ("a sulphamate compound"); and
  - ii) a biological response modifier.
2. A composition according to claim 1 wherein the biological response modifier is a  
10 cytokine.
3. A composition according to claim 2 wherein the cytokine is tumour necrosis factor (TNF).
- 15 4. A composition according to any one of the preceding claims wherein the sulphamate compound is suitable for use as an inhibitor of oestrone sulphatase (E.C. 3.1.6.2).
5. A composition according to any one of the preceding claims wherein if the sulphamate group on the sulphamate compound were to be replaced with a sulphate group to  
20 form a sulphate compound then the sulphate compound would be hydrolysable by a steroid sulphatase enzyme (E.C.3.1.6.2).
6. A composition according to any one of the preceding claims wherein if the sulphamate group on the sulphamate compound were to be replaced with a sulphate group to  
25 form a sulphate compound and incubated with a steroid sulphatase enzyme (E.C.3.1.6.2) at a pH 7.4 and 37°C it would provide a  $K_m$  value of less than 50 mM.
7. A composition according to any one of the preceding claims wherein if the sulphamate group on the sulphamate compound were to be replaced with a sulphate group to  
30 form a sulphate compound and incubated with a steroid sulphatase enzyme (E.C.3.1.6.2) at a pH 7.4 and 37°C it would provide a  $K_m$  value of less than 50  $\mu$ M.

M 20.03.00

43

8. A composition according to any one of the preceding claims wherein the sulphamate compound is a cyclic compound.

9. A composition according to any one of the preceding claims wherein the sulphamate compound is a polycyclic compound.

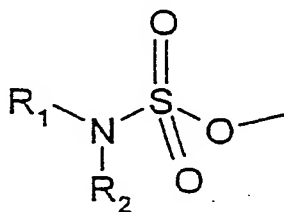
10. A composition according to any one of the preceding claims wherein the sulphamate compound has a steroidal structure.

11. A composition according to claim 10 wherein the sulphamate compound has at least one sulphamate group attached to the 3 position of the A ring of the steroidal nucleus.

12. A composition according to any one of the preceding claims wherein the sulphamate compound comprises at least one oxyhydrocarbyl group, preferably a group of the formula  $C_{1-6}O$ .

13. A composition according to claim 12 wherein the group  $C_{1-6}O$  is attached to the 2 position of the A ring of a steroidal nucleus.

14. A composition according to any one of the preceding claims wherein the sulphamate group of the sulphamate compound has the formula:



wherein each of  $R_1$  and  $R_2$  is independently selected from H or a hydrocarbyl group.

15. A composition according to any one of the preceding claims wherein the sulphamate compound is oxyhydrocarbyl steroidal sulphamate compound (preferably 2-methoxyoestrone-3-O-sulphamate), or a pharmaceutically active salt thereof.

M 20.03.00

16. A composition according to any one of the preceding claims, wherein the composition further comprises a pharmaceutically acceptable carrier, diluent, or excipient.

5 17. A composition according to any one of the preceding claims, wherein the compound comprising a sulphamate group is 2-methoxyoestrone-3-*O*-sulphate, and the biological response modifier is tumor necrosis factor  $\alpha$  (TNF- $\alpha$ )

18. A composition according to any one of the preceding claims for use in medicine.

10

19. Use of a composition according to any one of the preceding claims in the manufacture of a medicament to prevent and/or inhibit tumour growth.

20. Use of a composition according to any one of the preceding claims in the  
15 manufacture of a medicament to do any one or more of:

prevent or suppress glucose uptake by a tumour;

prevent and/or inhibit tumour angiogenesis;

disrupt microtubules;

20

induce apoptosis.

21. Use of an oxyhydrocarbonyl steroidal sulphamate compound in the manufacture of a medicament to do any one or more of:

25

prevent or suppress glucose uptake by a tumour;

prevent and/or inhibit tumour angiogenesis;

disrupt microtubules;

induce apoptosis.

30

22. A method of treatment comprising administering to a subject in need of treatment a composition according to any one of the preceding claims.

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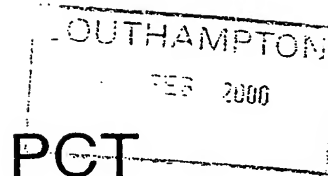
23. A method of treatment comprising administering to a subject in need of treatment a composition according to any one of the preceding claims or an oxyhydrocarbyl steroidal sulphamate compound in order to prevent or suppress glucose uptake by a tumour; and/or prevent and/or inhibit tumour angiogenesis; and/or disrupt microtubules; and/or induce apoptosis.

24. A composition that is capable of affecting hormonal activity and is capable of affecting an immune response, wherein the composition is the according to any one of the preceding claims.

25. A composition substantially as described herein.

# PATENT COOPERATION TREATY

From the:  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY



To:

ALCOCK, David  
D. YOUNG & CO.  
21 New Fetter Lane  
London EC4A 1DA  
GRANDE BRETAGNE

*28500*

WRITTEN OPINION

(PCT Rule 66)

*Reasons stated*

Date of mailing  
(day/month/year) 28.02.2000

Applicant's or agent's file reference  
P004713WO CTH DAA

**REPLY DUE** within 3 month(s)  
from the above date of mailing

International application No.  
PCT/GB99/01835

International filing date (day/month/year)  
10/06/1999

Priority date (day/month/year)  
10/06/1998

International Patent Classification (IPC) or both national classification and IPC  
A61K31/565

Applicant  
STERIX LIMITED et al.

1. This written opinion is the **first** drawn up by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain document cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby **invited to reply** to this opinion.

**When?** See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).

**How?** By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also:** For an additional opportunity to submit amendments, see Rule 66.4.  
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.  
For an informal communication with the examiner, see Rule 66.6.

**If no reply is filed**, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: **10/10/2000**.

Name and mailing address of the international preliminary examining authority:



European Patent Office  
D-80298 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu d  
Fax: +49 89 2399 - 4465

Authorized officer / Examiner

Toulacis, C

Formalities officer (incl. extension of time limits)

Hebert, W  
Telephone No. +49 89 2399 2152



## WRITTEN OPINION

International application No. PCT/GB99/01835

### I. Basis of the opinion

1. This opinion has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".*):

#### Description, pages:

1-41 as originally filed

#### Claims, No.:

1-24 as originally filed

#### Drawings, sheets:

1/9-9/9 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

3. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

### III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been and will not be examined in respect of:

- ☐ the entire international application,
- ☒ claims Nos. 5-24,

because:

- ☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

## WRITTEN OPINION

International application No. PCT/GB99/01835

- ☒ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 5-24 are so unclear that no meaningful opinion could be formed (*specify*):

**see separate sheet**

- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☐ no international search report has been established for the said claims Nos. .

### V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 1. Statement

Novelty (N)	Claims	1-4 (Yes)
Inventive step (IS)	Claims	1-4 (Yes)
Industrial applicability (IA)	Claims	1-4 (Yes)

#### 2. Citations and explanations

**see separate sheet**



III

Claims 5-24

The expression "*wherein if the sulphamate group on the sulphamate compound were to be replaced with a sulphate group to form a sulphate compound then the sulphate compound would be hydrolysable by a steroid sulphatase enzyme (E.C.3.1.6.2)*" in claims 5 to 24 is unclear and renders said claims unclear regarding the scope of protection (Art. 6 PCT).

The subject-matter of claim 24 is additionally not clear due to the expression "*as substantially described herein*".

The subject-matter of claims 19, 20 and 22 is not supported by the description (Art. 6 PCT).

Claims 19, 20 and 22 are directed to the use of a composition according to the presently claimed invention, in the manufacture of a medicament to do any one or more of: *i) prevent or suppress glucose uptake by a tumour, ii) prevent and/or inhibit tumour angiogenesis, iii) disrupt microtubules* and *iv) induce apoptosis*.

The effects of *i) to iii)* are not supported by the description for the composition claimed comprising the combination of a) a compound comprising a sulfamate group and b) a biological response modifier. Only the effect of *iv)* is supported by the description for the composition claimed, whereas the effects of *i)* and *iv)* are supported for the compound 2-methoxy EMATE and not the combination.

The effects, however, of 2-methoxy EMATE are already known (see D1, page 414, right column, last paragraph).

V

Claims 1-4

- (N) A composition comprising *i) a compound comprising a sulfamate group and ii) a biological response modifier*, is not disclosed in the documents cited in the search report.
- (IS) The object of the present application is to provide a composition suitable for use in the treatment of cancers and especially breast cancer (description; page 3, lines 22-23). Said object has been achieved by providing a composition as defined in

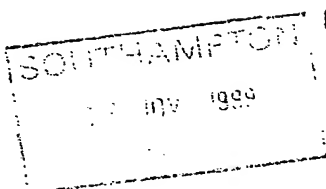
claim 1 of the present application (see description, page 34, table III and page 35, lines 5-8 in context with figures 9 and 10). It is shown that the combination of 2-methoxy EMATE (sulfamate comprising compound) and TFNa (biological response modifier) enhance apoptosis of MCF-7 breast cancer cells (fig. 9), and decrease the tumour volume of an NMU-induced mammary tumour significantly, compared to the components alone.

Document, REED M. J. ET AL: "The role of cytokines and sulphatase inhibitors in regulating oestrogen synthesis in breast tumours" J. STEROID BIOCHEM MOLEC. BIOL., vol. 53, no. 1-6, June 1995 (1995-06), pages 413-420, XP002121931 (D1), discloses that a number of growth factors and cytokines (biological response modifiers), stimulate the activities of enzymes involved in oestrogen synthesis in breast cancer cells, whereas EMATE (sulfamate comprising compound) inhibits oestrone sulphatase (E1-STS), (D1; abstract; page 415, right column, paragraph 2; fig. 4, 6; conclusions).

Said results of D1 concerning the biological response modifiers is prejudicial for the combination of an oestrone sulphatase inhibitor (EMATE) with a biological response modifier as presently claimed.

(IA) The industrial applicability is beyond any doubt.

## PATENT COOPERATION TREATY



PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

ALCOCK, David  
D. Young & Co.  
21 New Fetter Lane  
London EC4A 1DA  
ROYAUME-UNI

  
DAA

Date of mailing (day/month/year) 19 November 1999 (19.11.99)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference P004713WO DAA	
International application No. PCT/GB99/01835	International filing date (day/month/year) 10 June 1999 (10.06.99)

## 1. The following indications appeared on record concerning:

☒ the applicant
     
 ☐ the inventor
     
 ☐ the agent
     
 ☐ the common representative

## Name and Address

IMPERIAL COLLEGE OF SCIENCE,  
TECHNOLOGY AND MEDECINE

UNIVERSITY OF BATH

## State of Nationality

GB

## State of Residence

GB

Telephone No.

Facsimile No.

Teleprinter No.

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☒ the person
     
 ☐ the name
     
 ☐ the address
     
 ☐ the nationality
     
 ☐ the residence

## Name and Address

STERIX LIMITED  
The Magdalen Centre  
Robert Robinson Avenue  
The Oxford Science Park  
Oxford OX4 4GA  
United Kingdom

## State of Nationality

GB

## State of Residence

GB

Telephone No.

Facsimile No.

Teleprinter No.

## 3. Further observations, if necessary:

A power of attorney signed by the new applicant is required.

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input checked="" type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Genève 20, Switzerland

Facsimile No.: (41-22) 740.14.35

## Authorized officer

Ting Zhao

Telephone No.: (41-22) 338.83.38